# UTILITY PATENT APPLICATION TRANSMITTAL

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

| Attorney Docket No. 724/P10-258981 | Total Pages : |
|---|---|

**First Named Inventor or Application Identifier**

**Toshimitsu ISHIKAWA et al.**

*Express Mail Label No.:*

## APPLICATION ELEMENTS

*See MPEP chapter 600 concerning utility patent application contents.*

**ADDRESS TO:** Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. [X] **Fee Transmittal Form**
   *(Submit an original, and a duplicate for fee processing)*

2. [X] **Specification** [Total Pages -16]
   *(preferred arrangement set forth below)*
   - Descriptive title of the Invention
   - Cross References to Related Applications

   - Statement Regarding Fed sponsored R & D
   - Reference to Microfiche Appendix
   - Background of the Invention
   - Brief Summary of the Invention
   - Brief Description of the Drawings *(if filed)*
   - Detailed Description
   - Claim(s)
   - Abstract of the Disclosure

3. [] **Drawing(s)** *(35 USC 113)* [Total sheets -]
4. [X] **Oath or Declaration** [Total Pages - 2]

   a.1. [X] Newly executed (original or copy)
   a.2. [] Unexecuted
   b. [] Copy from a prior application (37 CFR 1.63(d))
   *(for continuation/divisional with Box 17 completed)*
   **[Note Box 5 below]**
   i. [] **DELETION OF INVENTOR(S)**
   Signed statement attached deleting inventor(s)
   named in the prior application, see 37 CFR
   1.63(d)(2) and 1.33(b).

5. [] **Incorporation By Reference**
   *(usable if Box 4b is checked)*
   The entire disclosure of the prior application, from which
   a copy of the oath or declaration is supplied under Box
   4b, is considered as being part of the disclosure of the
   accompanying application and is hereby incorporated by
   reference therein.

6. [] Microfiche Computer Program *(Appendix)*

7. [] **Nucleotide and/or Amino Acid Sequence Submission**
   *(if applicable, all necessary)*
   a. [] Computer Readable Copy
   b. [] Paper Copy (identical to computer copy)
   c. [] Statement verifying identity of above copies

### ACCOMPANYING APPLICATION PARTS

8. [X] Assignment Papers (cover sheet & document(s))
9. [] 37 CFR 3.73(b) Statement [] Power of Attorney
   *(when there is an assignee)*
10. [] English Translation Document *(if applicable)*
11. [] Information Disclosure Statement (IDS)/PTO-1449
    [] Copies of IDS Citations
12. [] Preliminary Amendment
13. [X] Return Receipt Postcard (MPEP 503)
    *(Should be specifically itemized)*
14. [X] Small Entity Statement(s)
    [] Statement filed in prior application, Status still proper and desired
15. [] Certified Copy of Priority Document(s)
    *(if foreign priority is claimed)*
16. [X] Other     Claim for Small Entity Status and
    Change of Address

17. If a CONTINUING APPLICATION, *check appropriate box and supply the requisite information:*
    [] Continuation   [] Divisional   [] Continuation-in-part (CIP) of prior Application No.

## 18. CORRESPONDENCE ADDRESS

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COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEE FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975.

September 10, 1999

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of            :

Toshimitsu ISHIKAWA et al.    :

Serial No. New             :    **Attn: APPLICATION BRANCH**

Filed September 10, 1999    :    **Attorney Docket No. 724/P10-258981**

SOFT CAPSULE          :

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## PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents
    Washington, DC 20231

Sir:

      Attached hereto is a check in the amount of $420.00 to cover Patent Office fees relating to filing the following attached papers:

New application . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . $380.00

Assignment for Recordal . . . . . . . . . . . . . . . . . . . . . . . . . . . . $40.00

      A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Toshimitsu ISHIKAWA et al.
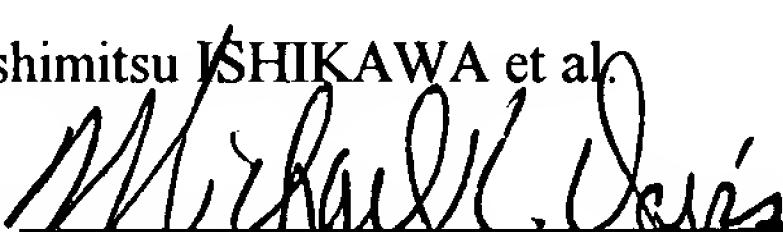
By _____
      Michael R. Davis
      Registration No. 25,134
      Attorney for Applicants

MRD/jk
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99_1012A

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Filed September 10, 1999                   :

SOFT CAPSULE

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## CLAIM FOR SMALL ENTITY STATUS

Assistant Commissioner for Patents
    Washington, DC 20231

Sir:

    Applicants hereby claim Small Entity Status for this application, based on the attached
VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37
CFR 1.9 (f) and 1.27 (c)) - SMALL BUSINESS CONCERN.

Respectfully submitted,

Toshimitsu ISHIKAWA et al.
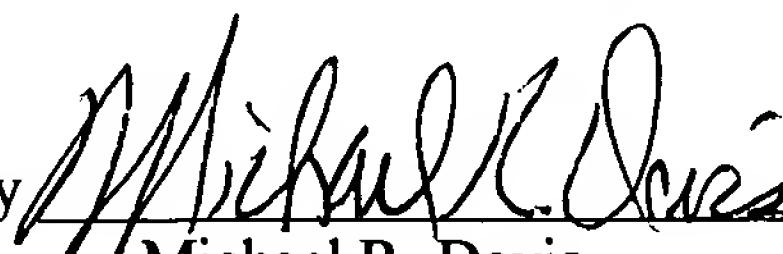
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